



15

1712

PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

12

Application Number

10/518,060

Filing Date

June 9, 2005

First Named Inventor

Lutz Lehmann

Art Unit

1712

Examiner Name

Kuo Liang Peng

Attorney Docket Number

H26787.68596 US - 4780/H9931-7405

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) _____

☐ Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify
below):

Response to Notice of Non-Compliant
Amendment (37 CFR 1.121)

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Buchalter Nemer, A Professional Law Corporation

Signature

Printed name

Sandra P. Thompson

Date

7/5/2007

Reg. No.

46, 264

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Collene Houston

Date

7/12/07

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Honeywell Docket No. H26787.68596 US - 4780
Bingham Docket No.: H9931-7405



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: **Lutz Uhe Lehmann et al.**

Serial No: **10/518,060**

Filed: **September 6, 2005**

For: **SILYL ALKYL ESTERS OF
ANTHRACENE- AND
PHENANTHRENE CARBOXYLIC
ACIDS**

Examiner: **Robert S. Loewe**

Art Unit: **1709**

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT (37 CFR 1.121)

MAIL STOP AMENDMENT
COMMISSIONER OF PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Dear Sir:

This paper responds to the Notice of Non-Compliant Amendment dated June 12, 2007.
Please enter the attached listing of all claims.

CLAIMS AMENDMENTS: None

SPECIFICATION AMENDMENTS: None

FIGURES AMENDMENTS: None

REMARKS SECTION: Begins on Page 2

PRELIMINARY AMENDMENT: Begins on Page 4